



TSAM: A Two-Stream Attention Model for Causal Emotion Entailment

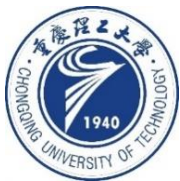
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Code: <https://github.com/BladeDancer957/TSAM>

Data: <https://github.com/declare-lab/RECCON/tree/main/data>

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Reported by Renhui Luo



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Introduction

a)

1, S_A I like winter. happy

2, S_B Me too. neutral

3, S_A It's snowing heavily. What about taking a walk? happy

4, S_B That's a good idea. Let's go! happy

5, S_A What a heavy snow! Look! The water is frozen. happy

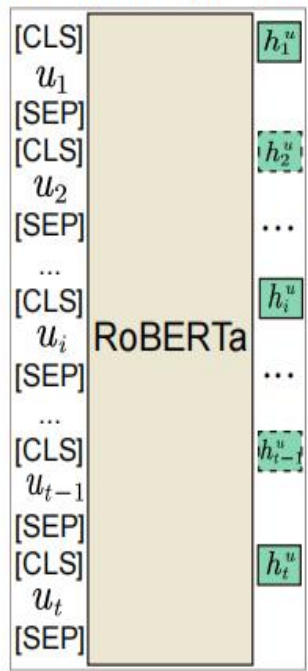
b)

1, S_A You know I am getting married! excited

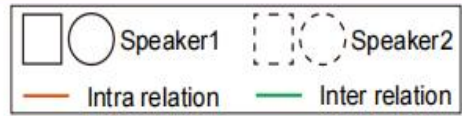
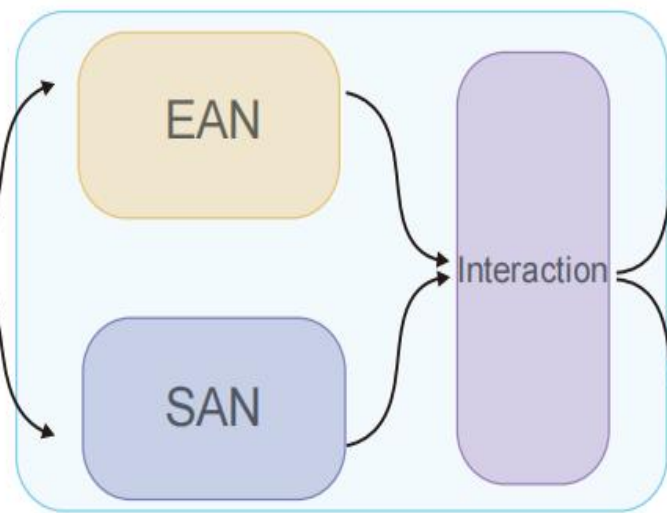
2, S_B Wow! That's great news.
Who is the lucky person? happy

Overview

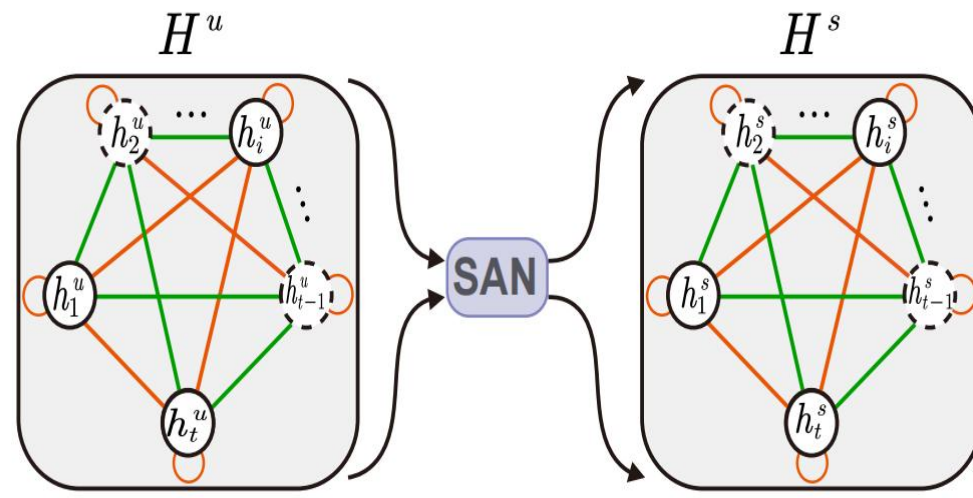
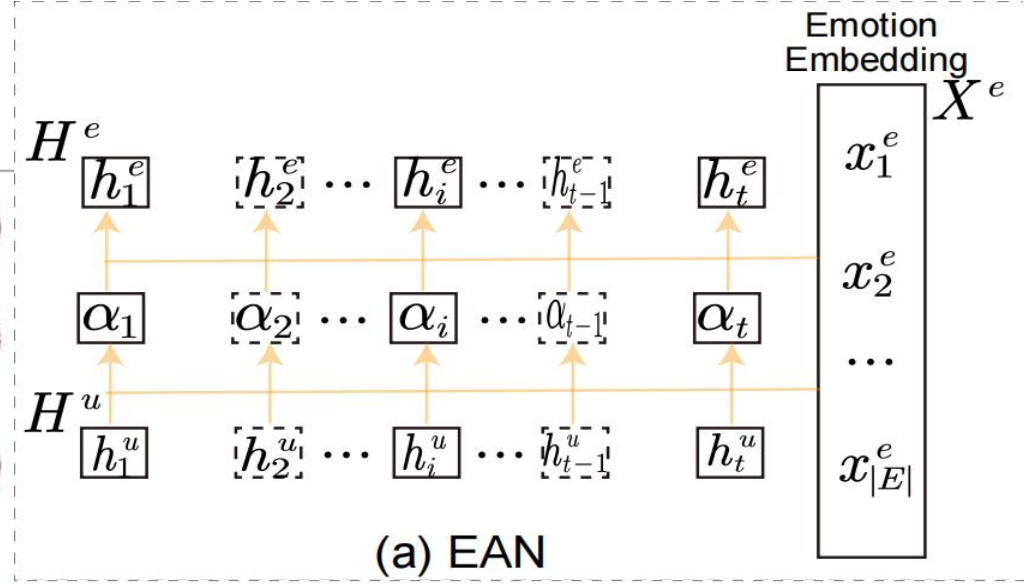
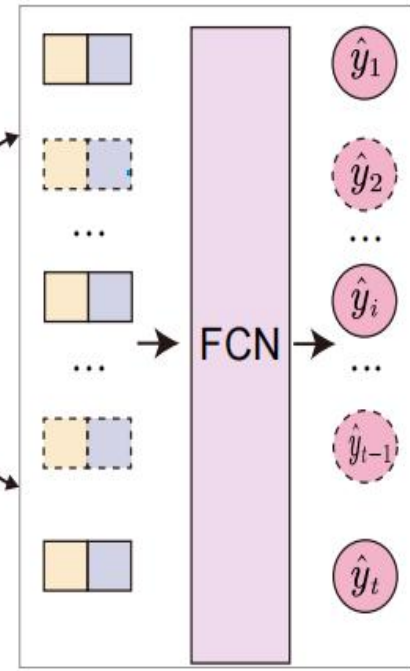
Contextual Representation



TSAM $L \times$

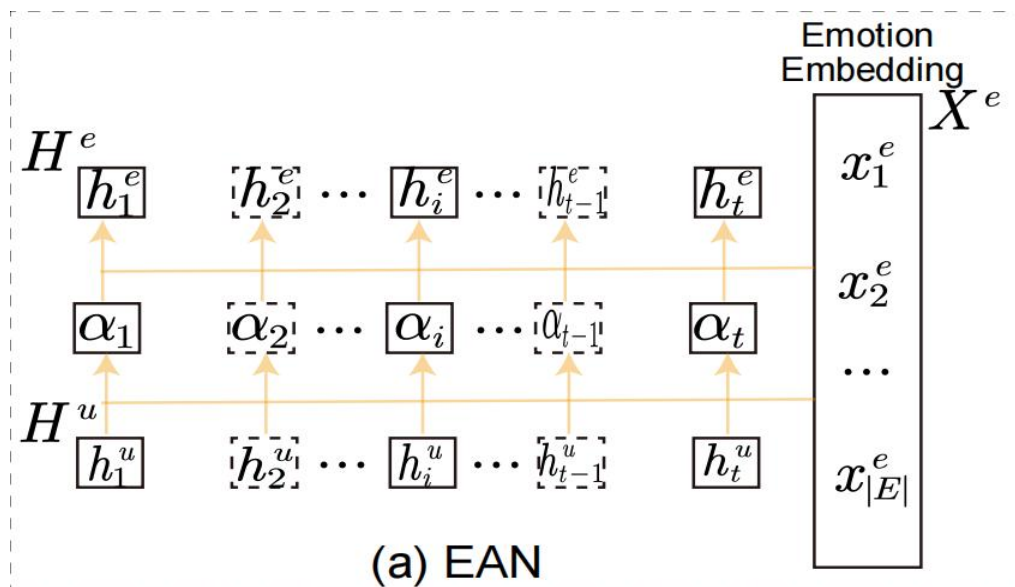


Cause Prediction



(b) SAN

Method



$$\mathbf{x}_k^e = \text{Embed}(e_k) \in \mathbb{R}^{d_h} \quad (1)$$

$$\mathbf{H}^e = \text{attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \alpha \mathbf{V} \quad (2)$$

$$\alpha = \text{softmax}\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d_h}}\right) \quad (3)$$

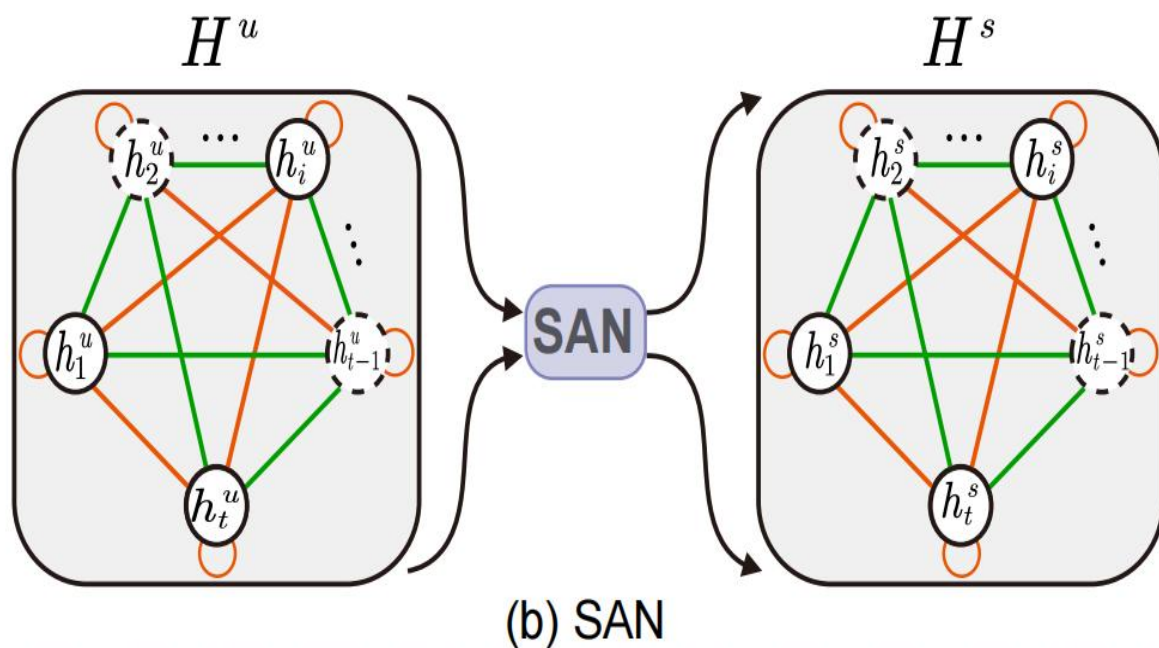
$$\mathbf{H}^e = \text{concat}(\text{head}_1, \dots, \text{head}_m) \quad (4)$$

$$\text{head}_j = \text{attention}(\mathbf{Q}\mathbf{W}_j^Q, \mathbf{K}\mathbf{W}_j^K, \mathbf{V}\mathbf{W}_j^V) \quad (5)$$

$$\mathbf{H}^e = \tilde{\mathbf{X}}^e \quad (6)$$

where $\mathbf{Q} = \mathbf{H}^u, \mathbf{K} = \mathbf{V} = \mathbf{X}^e,$

Method

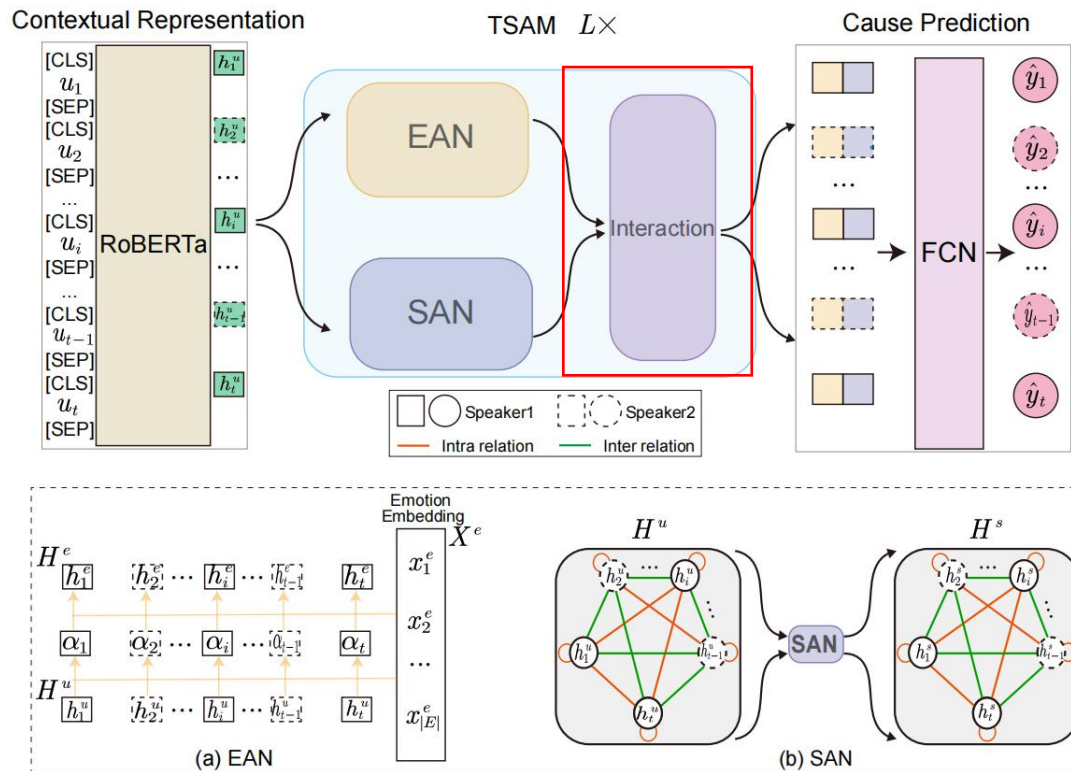


$$\alpha_{ijr} = \text{softmax}_i(\text{LRL}(\mathbf{a}_r^T [\mathbf{W}_r \mathbf{h}_i^u; \mathbf{W}_r \mathbf{h}_j^u])) \quad (7)$$

$$\mathbf{h}_{ir} = \sum_{j \in \mathcal{N}^r(i)} \alpha_{ijr} \mathbf{W}_r \mathbf{h}_j^u \quad (8)$$

$$\mathbf{h}_i^s = \sum_{r \in \mathcal{R}} \mathbf{h}_{ir} \quad (9)$$

Method



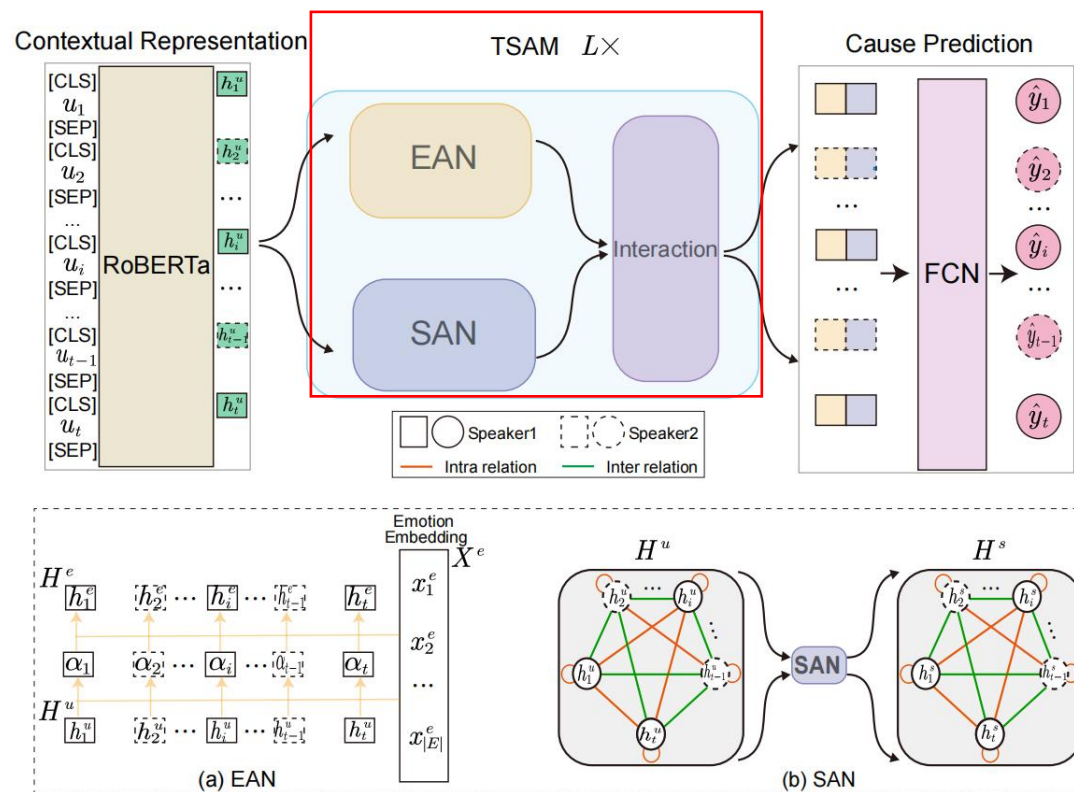
$$A_1 = \text{softmax}(H^e W_1 (H^s)^T) \quad (10)$$

$$A_2 = \text{softmax}(H^s W_2 (H^e)^T) \quad (11)$$

$$H^{e'} = A_1 H^s \quad (12)$$

$$H^{s'} = A_2 H^e \quad (13)$$

Method



$$H_l^e = \mathbf{EAN}(E_l, X^e) \quad (14)$$

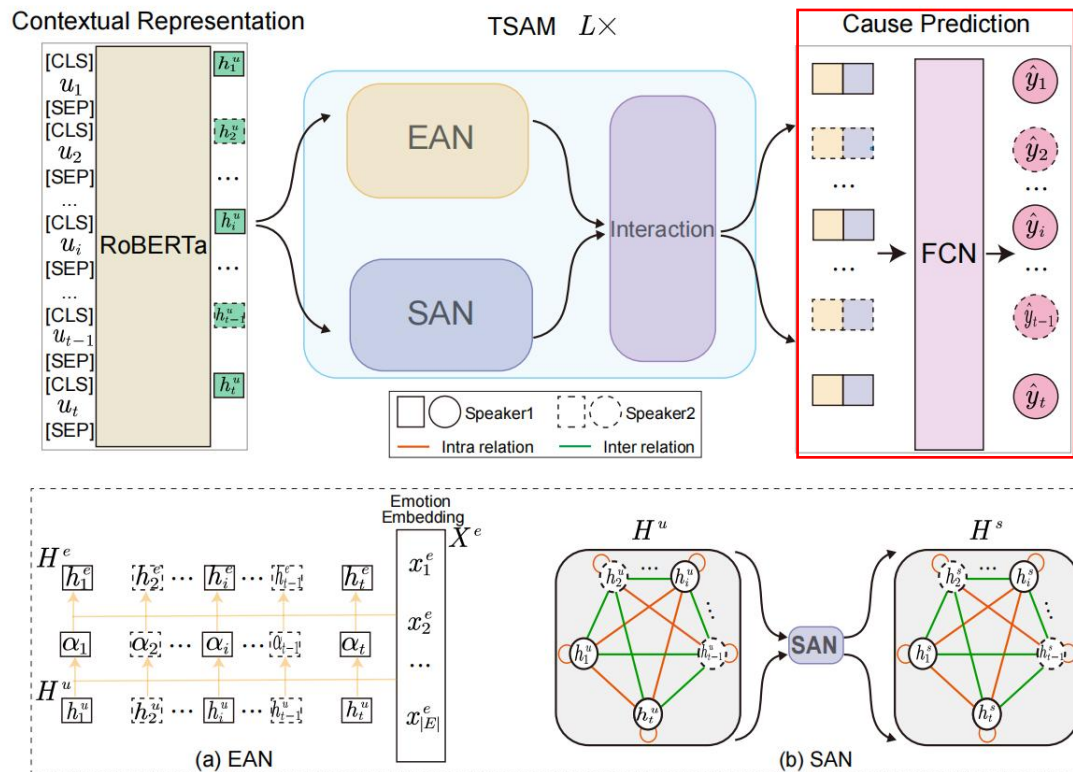
$$H_l^s = \mathbf{SAN}(S_l) \quad (15)$$

$$H_l^{e'}, H_l^{s'} = \mathbf{Interaction}(H_l^e, H_l^s) \quad (16)$$

$$E_{l+1}, S_{l+1} = H_l^{e'}, H_l^{s'} \quad (17)$$

where $E_0 = S_0 = H^u$. The TSAM can be stacked in L layers and $l \in [0, L - 1]$.

Method



$$l_i = \text{ReLU}(\mathbf{W}_1[e_i^L; s_i^L] + b_1) \quad (18)$$

$$\hat{y}_i = \text{sigmoid}(\mathbf{W}_2 l_i + b_2) \quad (19)$$



Experiments

Statistics		RECCON-DD	
Data Distributions	Train	Positive	7269
		Negative	20646
	Dev	Positive	347
		Negative	838
	Test	Positive	1894
		Negative	5330
Cause Type Distributions	No Context		43%
	Inter		32%
	Intra		9%
	Hybrid		11%
	Unmentioned		5%

Table 1: Statistics of the RECCON-DD dataset. *No Context*: The cause is present within the target utterance itself; *Inter*: Inter-speaker emotional influences; *Intra*: Intra-speaker emotion influences (Self-Contagion); *Hybrid*: Inter and Intra can jointly cause the emotion of an utterance; *Unmentioned*: Some instances have no explicit emotion causes in the conversational history.

Experiments

#	Model	W/O CH			W/ CH		
		Pos. F1	Neg. F1	macro F1	Pos.F1	Neg.F1	macro F1
0	INDEP _{base}	56.64	85.13	70.88	64.28	88.74	76.51
1	INDEP _{large}	50.48	87.35	68.91	66.23	87.89	77.06
2	JOINT _{base}	-	-	-	66.61	89.11	77.86
3	JOINT _{large}	-	-	-	68.30	89.16	78.73
4	Ours _{base}	-	-	-	68.59	89.75	79.17
5	Ours _{large}	-	-	-	70.00[†]	90.48[†]	80.24[†]

Table 2: Performance of our model and baselines on the test set of RECCON-DD. Bold font denotes the best performance. “Ours” denotes the proposed model without removing any module (“Ours” = “JOINT” + TSAM). “[†]” denotes that Ours_{large} is statistically significant (Koehn, 2004) better than INDEP_{large} W/ CH (p -value < 0.05).



Experiments

Emotion Information	Pos. F1	Neg. F1	macro F1
No	68.40	89.80	79.10
DAEE	68.90	90.03	79.47
EAN	70.00	90.48	80.24

Table 3: Comparison of different ways of incorporating emotion information. *No*: no emotion information incorporated; *DAEE*: incorporating the emotion information with direct application emotional embedding.



Experiments

Speaker Information	Pos. F1	Neg. F1	macro F1
Not Consider	67.99	89.42	78.71
Consider	70.00	90.48	80.24

Table 4: Results on experiments whether considering speaker information or not in SAN.



Experiments

	Pos. F1	Neg. F1	macro F1
W/O Interaction	68.18	88.93	78.56
W/ Interaction	70.00	90.48	80.24

Table 5: Results on experiments whether removing interaction module or not in TSAM.



Experiments

Models	Intra	Inter
W/O TSAM	62.06	72.67
W/ TSAM	63.82	74.81

Table 6: Accuracy on the collected samples. *Intra*: Intra-speaker emotional influences; *Inter*: Inter-speaker emotional influences.

Experiments

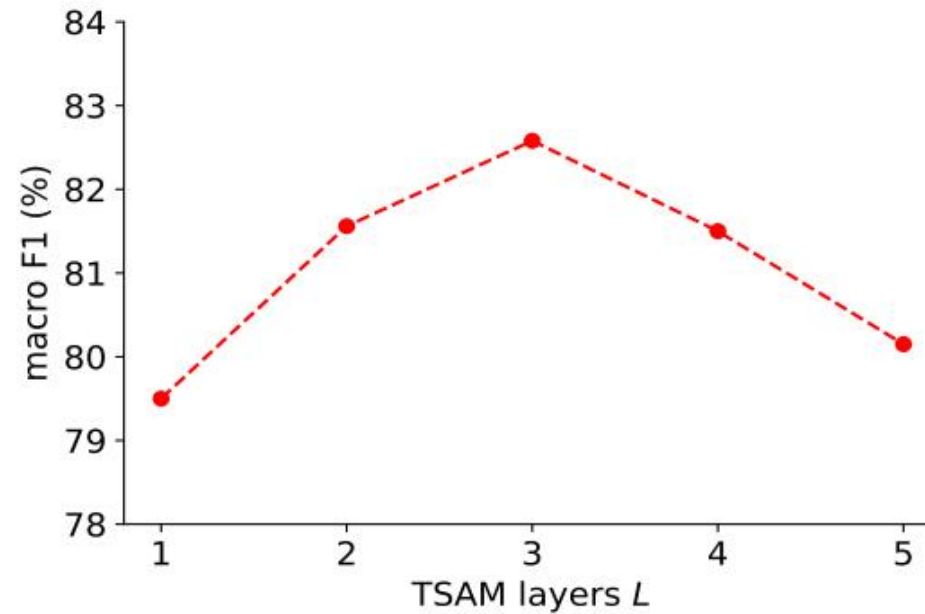


Figure 3: Results of $Ours_{large}$ with various TSAM layers on the development set of RECCON-DD.



Thanks!